

Cambridge University Press. Smith's chapters include the "Introduction" and Chapter Five, "Shen-tsung's reign and the New Policies of Wang An-shih, 1068-1085."

ARTICLES AND BOOK CHAPTERS

- 2006 "Irredentism as Political Capital: The New Policies and the Annexation of Tibetan Domains in Hehuang (the Qinghai-Gansu Highlands) under Shenzong and his Sons, 1068 – 1126." In Patricia Ebrey and Maggie Bickford, eds., *Emperor Huizong and Late Northern Song China* (Cambridge: Harvard University Asia Center), pp. 78-130.
- 2006 "Shuihu zhuan and the Military Subculture of the Northern Song, 960-1127," *Harvard Journal of Asiatic Studies*, 66.2 (December 2006): 363-422.
- 2004 "The Eurasian Transformation of the 10th to 13th centuries: The View from the Song." In Johann Arneson and Bjorn Wittrock, eds., "Eurasian transformations, tenth to thirteenth centuries: Crystallizations, divergences, renaissances," a special edition of the journal *Medieval Encounters* (December 2004). To be republished as a book by Brill.
- 1998 "Fear of Gynarchy in an Age of Chaos: Kong Qi's Reflections on Life in South China under Mongol Rule," *Journal of the Economic and Social History of the Orient* 41.1 (1998):1-95.
- 1993 "State Power and Economic Activism During the New Policies, 1068-1085: The Tea and Horse Trade and the Green Sprouts Loan Policy," in Robert Hymes and Conrad Schirokauer, eds., *Ordering the World: Approaches to State and Society in Sung Dynasty China*, Berkeley: University of California Press, pp. 76-127.
- 1992 "Family, *Landsmann*, and Status-Group Affinity in Refugee Mobility Strategies: The Mongol Invasions and the Diaspora of Sichuanese Elites, Ca. 1230-1330," *Harvard Journal of Asiatic Studies*, 52.2 (December 1992): 665-708.
- 1992 (with Chen Shisong), "Songmo-Yuanchu Shushi liuyu dongnan wenti tantao" (宋末元初蜀士流于东南问题探讨, On the diaspora of Sichuanese intellectuals to the southeast in the late Song and early Yuan), *Yuanshi luncong* (Studies in Yuan History) 5:97-111.
- 1988 "Commerce, Agriculture, and Core Formation in the Upper Yangzi, 2 A.D. to 1948," *Late Imperial China* 9.1 (June 1988):1-78.

CURRENT PROJECT

"War and Political Culture in Mid-Imperial China: The Song Officer Corps and the Literati State, ca. 1040-1140."

Historians have long identified the primacy of civil over military values and institutions as one hallmark of the Song Dynasty (960-1279). But long after the dynastic founding a robust military culture persisted in North China that Song

officials sought to harness in the century from 1040 to 1140, when strategic vulnerabilities and frontier adventurism obliged the court to revive the careers of northern military families, conscript northern peasants out of the fields, and entrust the survival of the dynasty to powerful 'house armies' like those led by Yue Fei. This century-long revival of North China's military culture ended only with the court's formal surrender of the region to the Jurchen Jin in 1141, after which the literocentric values of the south that historians associate with Song "civilism" finally came to dominate Song culture in general.

REVIEWS AND RESEARCH NOTES

- 2006 Review of David C. Wright, *From War to Diplomatic Parity in Sung China*, in *Journal of Song-Yuan Studies* 36 (2006).
- 2001 Review of Frederick Mote, *Imperial China 900 – 1800*, in *Harvard Journal of Asiatic Studies* 61.2 (December 2001): 495-508.
- 1998 Review of Richard L. Davis, *Wind against the Mountain: The Crisis of Politics and Culture in Thirteenth-Century China*, in *Harvard Journal of Asiatic Studies*, 58.2 (December 1998):603-14.
- 1997 Review of Ruth W. Dunnell, *The Great State of White and High: Buddhism and State Formation in Eleventh-Century Xia*, in *China Review International* 4.2 (Fall 1997):380-85.
- 1994 "Do We Know as Much as We Need to About the Song Economy? Observations on the Economic Crisis of the Twelfth and Thirteenth Centuries," *Journal of Sung-Yuan Studies* 24 (1994):327-33.
- 1992 Review of Elizabeth Endicott-West, *Mongolian Rule in China*, *Journal of Sung-Yuan Studies* 22 (1990-1992):215-222.
- 1988 Review of Winston Lo, *An Introduction to the Civil Service of Sung China*, *Journal of Asian Studies* 47.4 (November 1988):859-60.
- 1988 Review of Brian E. McKnight, *Law and Order in Sung China*, *Journal of Sung-Yuan Studies* 24 (1994):313-21.

GRANTS, PRIZES, AND FELLOWSHIPS

- 2005 American Council of Learned Societies Research Fellowship for a project on "Peasants, landlords, warriors, and the state: war and the revival of military culture in North China, 1040-1140." Grant duration AY 2005-06.
- 2001 National Endowment for the Humanities, Postdoctoral Fellowship for "In the Shadow of the Forest and the Steppe: Song China and the Empires of the Northern Frontier, 960-1279."
- 1996 Haverford College Award for Curricular Innovation.
- 1994 (with Richard von Glahn, UCLA): Joint Committee on Chinese Studies, American Council of Learned Societies, grant for an international conference on "The Song-Yuan-Ming Transition: A Turning Point in Chinese History?" Held June, 1997.
- 1993-94 Committee on Scholarly Communication with China, Chinese Fellowship for Scholarly Development for Chen Shisong, Sichuan Academy of Social Sciences.

- 1993-94 Chiang Ching-kuo Foundation and the Joint Committee on Chinese Studies of the American Council of Learned Societies, for research on Chinese society under the Mongols.
- 1987-88 Committee on Scholarly Communications with the People's Republic of China, for research at the Sichuan Academy of Social Sciences, Chengdu, China.
- 1983-84 Postdoctoral Fellowship, Center for Chinese Studies, University of Michigan.
- 1982-83 Mellon Dissertation Fellowship, University of Pennsylvania.
- 1980-82 International Doctoral Dissertation Fellowship, Social Science Research Council, for research at the Research Institute for Humanistic Studies, Kyoto University.
- 1979-80 University Fellowship, University of Pennsylvania.
- 1978-79 National Defense Education Act Title VI, University of Pennsylvania
- 1965-68 National Merit Scholarship at Bard College.

PANELS AND WORKSHOPS ORGANIZED OR CHAIRED

- 2005 Organizer and chair, panel on "History and Historical Fiction: New Studies of Sanguo yanyi (Romance of the Three Kingdoms) and Shuihu zhuan (Water Margin)." Annual Meeting, Association for Asian Studies, Chicago, March 2005.
- 2004 Chair, panel on New Work on Song History, New York Conference on Asian Studies Annual Meeting, Bard College, October 2004
- 1994-7 Co-organizer with Richard von Glahn, International Conference on "The Song-Yuan-Ming Transition: A Turning Point in Chinese History?" Held June 5-11, 1997, under the auspices of the Joint Committee on Chinese Studies, American Council of Learned Societies.
- 1994 Co-organizer with Richard von Glahn, Planning Meeting on "The Song-Yuan-Ming Transition," February 12, 1994, UCLA, funded by the Joint Committee on Chinese Studies of the American Council of Learned Societies and the Social Science Research Council.
- 1993 "Song Studies: The State of the Field," Annual Meeting, Association for Asian Studies, Los Angeles, March, 1993.
- 1990 "New Approaches to Yuan History," Annual Meeting, Association for Asian Studies, Chicago, April, 1990.
- 1984 "Wang Anshi's New Policies and State Activism during the Song," Annual Meeting, Association for Asian Studies, Washington, D.C., March 1984.

TALKS AND PAPERS PRESENTED

- 2006 "War and Political Culture in Mid-Imperial China: The Song Military and the Literati State, ca. 1040-1140." Arizona State University East Asian Studies Program, April, 2006.
- 2005 "Shuihu zhuan and the Military Subculture of the Northern Song, 960-1127." Columbia University Traditional China Seminar, February 24, 2005.

- 2005 "Ming Artifacts of the Northern Song: Water Margin as a Guide to the Military Subculture of the Late Northern Song." Annual Meeting, Association for Asian Studies, Chicago, March 2005.
- 2003 "A Chinese Perspective on the Eurasian Transformation of the 10th to the 13th Century: Steppe, State-building, and Class Formation in China during the Song, 960 -- 1279." International Conference on "Eurasian Transformations, 10th - 13th Centuries," organized under the joint auspices of the European University Institute and the Swedish Collegium for Advanced Studies in the Social Sciences. Uppsala, Sweden, June 26-27, 2003.
- 2002 "Annexing Amdo in the Late Northern Song." Association for Asian Studies Annual Convention, Washington, D.C., April 5, 2002.
- 2001 "Foreign Invasion as Political Capital: Career-making, Imperial Ambition, and the Annexation of Hehuang (Northeastern Tibet) under Shenzong and his Sons," revised version of the Seattle essay for the follow-up conference on Huizong and the Culture of Northern Song China, Brown University, Providence RI, November 30-December 2, 2001.
- 2001 "Domestic Politics and the Annexation of Qingtang under Shenzong and his Sons, 1068-1104," Prepared for the Workshop on Huizong and the Culture of the Northern Song, University of Washington, Seattle, February 1-3.
- 2001 "Aching for a Victory: Why China Invaded Northeastern Tibet in the Late Eleventh Century," John R. Coleman Professorial Lecture, Haverford College, February 23, 2001.
- 2000 Discussant, politics and culture under Huizong, Association for Asian Studies Annual Convention, San Diego, California.
- 1997 "Anecdotalists' Perspectives on the Steppe, Society, and the State in the Historical Conjuncture of the Twelfth to Fifteenth Century," Prepared for the ACLS Conference on the Song-Yuan-Ming Transition in Chinese History, Lake Arrowhead, CA, June 5-11, 1997.
- 1995 Interdisciplinary and cross-dynastic approaches to Song, Yuan, and Ming history in the United States, Institute for Historical Research, Chinese Academy of Social Sciences (Beijing), May 24, 1995 (in Chinese).
- 1995 "Uxorilocal Vexations during the Yuan: Kong Qi's Frank Recollections of the Zhizheng Era and Wu Hanchen's Drama, 'An Heir in Old Age.'" Annual Meeting, Association for Asian Studies (Washington) April 6, 1995).
- 1995 "The Kongs of Liyang and the Yuan Dynastic Cycle," Columbia University Traditional China Seminar, May 9, 1995.
- 1995 "Family, Morality and Survival in the Twilight of the Yuan: The Frank Recollections of the Zhizheng Era (1341-1368) and Kong Qi's Critique of the Jiangnan Gentry," Harvard University Premodern Chinese Seminar, March 13, 1995.
- 1994 "Shenzong Revisited, or, Lessons from a Cambridge History Chapter," Annual Meeting, Association for Asian Studies, Boston, March 26, 1994.
- 1994 "Early Modern Worries: Local Chinese Society under Mongol Rule," Philadelphia Oriental Society, March 10, 1994.
- 1994 "Money and Poverty, Crime, and the Loss of Personal Freedom in Local Chinese Society under the Mongols," University of Pennsylvania East Asian Colloquium, March 17, 1994.
- 1993 "The Song Economic Crisis: New Views on State and the Economy during the Medieval and Late Imperial Eras," Conference on New Directions in the Socio-cultural History of Late Imperial China, UCLA, February 13, 1993.

- 1993 "Do We Know All We Need to About the Song Economy?" Annual Meeting, Association for Asian Studies, Los Angeles, March, 1993.
- 1990 "Status Group Solidarity and Refugee Survival: The Mongol Invasions and the Diaspora of Sichuanese Elites," Annual Meeting, Association for Asian Studies, Chicago, April, 1990. [Expanded version given at the Traditional China Seminar, Columbia University, May, 1990.]
- 1989 "Razing Heaven's Storehouse: The Mongol Invasions and the Diaspora of Sichuanese Intellectuals in Thirteenth-Century China," Faculty Research Talk, Haverford College, January 1989.
- 1988 "Was the Song the Most Interventionist State of Them All?" Talk at the Harvard University Premodern China Seminar, May 5, 1988.
- 1987 "Song Studies in the United States," Report to the History Institute of the Sichuan Academy of Social Sciences, Chengdu, October, 1987 (in Chinese).
- 1986 "State Power and Economic Activism During the New Policies, 1068-1085: The Tea and Horse Trade and the Green Sprouts Loan Policy," ACLS Workshop on Sung Dynasty Statecraft in Thought and Action Scottsdale, Arizona, January 1986.
- 1986 "Reflections on the Sung Statecraft Conference." Report to the Chinese History Colloquium, Princeton University, February 1986.
- 1984 "Commerce, Agriculture, and Core Formation in the Upper Yangtze, 2 A.D. to 1948," ACLS/SSRC International Conference on Spatial and Temporal Trends and Cycles in Chinese Economic History, 980-1980, Bellagio, Italy, August 1984.
- 1984 "Bureaucratic Entrepreneurship and Economic Control in Sichuan under the New Policies," Annual Meeting, Association for Asian Studies, Washington, D.C., March 1984.
- 1982 "The Horses of Koko Nor and the Tea of Sichuan," East Asian Colloquium, University of Pennsylvania, December 1982. [Revised versions given at the Traditional China Seminar, Columbia University, Spring 1983, and the University of Michigan, Center for Chinese Studies, Fall 1983.]
- 1977 "Interest Groups, Ideology, and Economic Policy Making: The Northern Sung Debates over the Southeastern Tea Monopoly," Regional Conference, Association for Asian Studies on the Pacific Coast, Eugene, Oregon, June 1977.

ADMINISTRATIVE POSITIONS

Faculty Director, Center for Peace and Global Citizenship (appointed 2006)
Co-chair and Co-founder, Haverford/Bryn Mawr Bi-College Department of East Asian Studies (2002-2005)
Chair, Educational Policy Committee (1998-2001)
Chair, History Department (1991-1994)

RECENT AND UPCOMING COURSES

100 Level

Hist 120B: Individual and Society in China

200 Level

Hist/East 200: Methods and Approaches in East Asian Studies
Hist 260: Mid-imperial China, ca. 800 to 1600
Hist 261: Late Imperial China, ca. 1600 to 1911
Hist 263: The Chinese Revolution

300-Level Seminar Topics

Tale of the Heike and *Water Margin*
Chinese Political Culture in the Post-Mao Era
Chinese History as Global History
Social Transformations in Eurasia, 10th to 14th Centuries