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APPENDIX TO
WHERE DID THE SPUTNIK COME FROM?

Western Study of the Soviet Economy and the National Interest

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Table A1. Textbooks, readers, and popular books on Soviet/socialist economy.

<i>Author, year, edition</i>	<i>Chapter on</i>	<i>Chapters on other sectors</i>	<i>Index entries,</i>	<i>“Secrecy”</i>
	<i>milita-ry</i>		<i>number and %</i>	<i>in index</i>
	<i>sector</i>		<i>of total pp.</i>	
Schwartz, 1950 1 st ed.	No	Agric. + 6 sectors	20/570; 3.5	No
Schwartz, 1954 2 nd ed.	No	Agric. + 5 sectors	34/656; 5.2	No
Campbell, 1960 1 st ed.	No	Agriculture, R&D	0/205; 0.0	No
Nove, 1961 1 st ed.	No	Finance	5/314; 1.6	No
Wiles, 1962	No	Heavy and light indust., I ³	1/397; 0.3	No
Spulber, 1962	No	Agric., distrib., banking	0/274; 0.0	No
Bornstein, 1962 1 st ed.	No	Agric., FT 2	No index	n.a.
Holzman, 1962	No	Agric., finance, for. trade	No index	n.a.
Schwartz, 1965	No	Foreign trade	12/246; 4.9	No
Ames, 1965	No	Agriculture, for. trade	3/248; 1.2	No
Bornstein, 1966 2 nd ed.	No	Agric. 2, banking, FT, C	No index	n.a.
Campbell, 1966 2 nd ed.	No	Agriculture, cons. goods	5/180; 2.8	No
Nove, 1966 rev. ed.	No	Agric., for. trade, banking	3/336; 0.9	No
Goldman, 1968	No	For. trade	2/166; 1.2	No
Schwartz, 1968	No	Agric., for. trade, banking	6/164; 3.7	No
Sherman, 1969	No	Agric., health, educ., hous.	1/366; 0.3	No
Spulber, 1969	No	Agriculture, finance	2/290; 0.7	No
Nove 1969 2 nd rev. ed.	No	Agric., for. trade, banking	3/354; 0.9	No
Kaser, 1970	No	No	11/325; 3.4	No
Table A1 continued				
<i>Author, year, edition</i>	<i>Chapter on</i>	<i>Chapters on other sectors</i>	<i>Index entries,</i>	<i>“Secrecy”</i>
	<i>milita-ry</i>		<i>number and %</i>	<i>in index</i>
	<i>sector</i>		<i>of total pp.</i>	
Wilczynski, 1970, 1 st ed.	No	Agric., for. trade, C, I+2 sectors	1/220; 0.5	No
Bornstein, 1970 3 rd ed.	No	Agriculture, banking, C	No index	n.a.
Hutchings, 1971 1 st ed.	No	Ag., fin., FT	18/303; 5.9	No
Wilczynski, 1972, 2 nd ed.	No	I, C, Ag., banks, FT, trade	1/226; 0.4	No
G&S ² , 1974 1 st ed.	No	Agriculture, for. trade	3/455; 0.7	No
Bornstein, 1974 4 th ed.	No	Agr., for. trade, +2 sectors, C, I	No index	n.a.
Campbell, 1974, 3 rd ed.	No	Agriculture, for. trade, energy	6/250; 2.4	No
Dyker, 1976	No	Agriculture	8/165; 4.9	No
Nove, 1977, 1 st ed.	No	Agric., for. trade, finance, I	11/379; 2.9	No
Wilczynski, 1977, 3 rd ed.	No	Agric., for. trade, C, I+2 sectors	1/220, 0.5	No
Krylov, 1979	Yes	Ag., supply, finance, trade	9/248; 3.6	No
Nove, 1980, 2 nd ed.	No	I, Ag., trade	11/385; 2.9	No
Bornstein, 1981 5 th ed.	Yes ¹	Agricul., for. trade, energy	0/362; 0.0 ¹	No

G&S ² , 1981 2 nd ed	Yes	Agriculture, for. trade, I	17/408; 4.2	No
Millar, 1981	No	Agriculture, nat. resources	18/202; 8.9	Yes
Hutchings, 1982 2 nd ed.	No	Agric., for. trade, finance	24/307; 7.8	Yes
Holzman, 1982	Yes	Agriculture, petroleum	No index	n.a.
Wilczynski, 1982, 4th ed.	No	I, C, FT, Ag., retail, bank +1	4/225; 1.8	No
Goldman, 1983	No	Agriculture, for. trade	9/182; 4.9	No

Table A1 continued

<i>Author, year, edition</i>	<i>Chapter on milita-ry sector</i>	<i>Chapters on other sectors</i>	<i>Index entries, number and % of total pp.</i>	<i>“Secrecy” in index</i>
Dyker, 1985	No	Agriculture, construction	4/154; 2.6	No
G&S ² , 1986 3 rd ed.	Yes	Agriculture, for. trade	12/434; 2.8	No
Nove, 1986 3 rd ed.	No	Agric., for. trade, finance	3/397; 0.8	No
Buck and Cole, 1987	No	Investment	0/179; 0.0	No
Bleaney, 1988	No	Agriculture	0/161; 0.0	No
G&S ² , 1990 4 th ed.	Yes	Agriculture, foreign trade	14/492; 2.9	No
Jeffries, 1990	No	Foreign trade	0/302; 0.0	No
Kornai, 1992	No	Foreign trade	5/580; 0.9	No
Dyker, 1992	No	Agriculture, construction	5/209; 2.4	No

Sources: see Bibliography below.

Notes: ¹A chapter on military and economic aid to the less developed countries. Names of particular weapons exported in the index. ² Gregory and Stuart. ³ Investment.

Table A2. Books on Soviet/socialist planning

<i>Author, year, edition</i>	<i>Chapter on military sector</i>	<i>Chapters on other sectors</i>	<i>Index entries, number and % of total pp.</i>	<i>“Secrecy” in the index</i>
Grossman, 1960	No	Agriculture, transport	No index	n.a.
Hirsch, 1961	No	Investment	2/220; 0.9	No
Bergson, 1964	No	Agriculture, C ¹ , Investment	0/382; 0.0	No
Degras, 1964	No	Banking	No index	n.a.
Bernard, 1966	No	Investment	0/300; 0.0	No
Hardt, 1967	No	No	0/266; 0.0	No
Zauberman, 1967	No	Foreign trade	0/302; 0.0	No
Ellman, 1971	No	Wholesale trade	No index	n.a.
Ellman, 1973	No	No	0/190; 0.0	No
Marczewski, 1974	No	Agricul., for. trade, services	No index	n.a.
Zauberman, 1976	No	Investment	No index	n.a.
Cave, 1980	No	Supply	0/198; 0.0	No
Kushnirsky, 1982	No	No	7/163; 4.3	No
Rutland, 1985	No	Healthcare	1/267; 0.4	No
Ellman, 1989	No	Agriculture, for. trade	0/330; 0.0	No
Bennett, 1989	No	Investment, for. trade	0/271; 0.0	No
Eatwell, et al., 1990	No	Agric., for. trade	No index	n.a.
Hare, 1991	No	Investment	0/137; 0.0	No

Sources: see Bibliography below. Notes: ¹ Consumption.

Table A3. Books on industrial enterprise and management

<i>Author, year, edition</i>	<i>Chapter on military sector</i>	<i>Chapters on other sectors</i>	<i>Index entries, number and % of total pp.</i>	<i>“Secrecy” in the index</i>
Granick, 1954	No	No	6/305; 2.0	No
Berliner, 1957	No	No	6/330; 1.8	No
Granick, 1960	No	No	0/320; 0.0	Yes
Richman, 1967	No	No	0/264; 0.0	No
Conyngham, 1982	No	No	0/310; 0.0	No
Guroff & Carstensen, 1983 ¹	No	Agriculture	0/156; 0.0	No
Freris, 1984	No	No	0/168; 0.0	No
Bessinger, 1988	No	No	12/300; 4.0	No

Sources: see Bibliography below.

Notes: ¹Part dealing with the Soviet period only.

Table A4. Books on growth and development

<i>Author, year, edition</i>	<i>Chapter on military sector</i>	<i>Chapters on other sectors</i>	<i>Index entries, number and % of total pp.</i>	<i>“Secrecy” in the index</i>
Bergson, 1953	No	Agric., for. trade, transp., I ¹	11/368; 3.0	No
Bergson & Kuznets, 1963	No	Agric., for. trade, C ²	17/382; 4.5	No
Spulber, 1964	No	No	0/130; 0.0	No
Treml, 1968	No	Agriculture	No index	n.a.
Wilber, 1969	No	Agriculture	0/226; 0.0	No
Maddison, 1969 ³	No	Agriculture, foreign trade	10/80; 12.5	No
Cohn, 1970	No	No	8/122; 6.6	No
Bergson, 1978	No	C	11/223; 4.9	No
Bergson & Levine, 1982	No	Agric., energy, for. trade	24/446; 5.4	No
Bairam, 1988	No	No	0/203; 0.0	No

Sources: see Bibliography below.

Notes: ¹Investment. ²Consumption. ³ Part dealing with the USSR and appendices with comparative data only.

Table A5. Books on NIPA and statistics

<i>Author, year, edition</i>	<i>Chapter on military sector</i>	<i>Chapters on other sectors</i>	<i>Index entries, number and % of total pp.</i>	<i>“Secrecy” in the index</i>
Jasny, 1951	Yes	Investment, Consumption	23/107; 21.5	No
Bergson, 1953	No	No	No index	No index
Bergson & Heymann, 1954	No	No	No index	No index
Hoeffding, 1954	No	Investment	No index	No index
Hodgman, 1954	No	Machinery, cons. goods	4/174; 3.1	No
Grossman, 1960	No	No	0/134; 0.0	No
Bergson, 1961	Yes	C ¹ , Investment, G ²	78/447; 17.5	No
Moorsteen and Powell, 1962	No	No	12/650; 1.9	No
Nutter, 1962	Yes	Machinery & equipment	19/634; 5.8	No
Becker, 1969	Yes	Consumption, Investment	75/294; 25.5	No
Treml and Hardt, 1972	No	Agriculture	14/445; 3.2	yes

Sources: see Bibliography below.

Notes: ¹ Consumption. ² Government spending.

Table A6. General Soviet/socialist economics books

<i>Author, year, edition</i>	<i>Chapter on military sector</i>	<i>Chapters on other sectors</i>	<i>Index entries, number and % of total pp.</i>	<i>“Secrecy” in the index</i>
Jasny, 1962	No	Agriculture + 5 sectors	7/281; 2.5	No
Nove, 1964	No	Agriculture	1/308; 0.3	no
Rosovsky, 1966	no	Foreign trade	No index	n.a.
Zaleski, 1967	No	No	7/192; 3.7	No
Balinki, et al., 1967	No	No	No index	n.a.
Ward, 1967	No	No	0/263; 0.0	No
Keizer, 1971	No	C	No index	n.a.
Roberts, 1971	No	No	0/117; 0.0	No
Eckstein, 1973	no	No	1/355; 0.3	no
Fallenbuchl, 1975	No	R&D, civil aviation	5/325; 1.5	No
Fallenbuchl, 1976	No	Agriculture, for. trade	5/398; 1.3	No
Thornton, 1976	No	Agriculture, for. trade	1 ³ /366; 0.3	yes
Abouchar, 1977	No	Argic., transport, cement	0/136; 0.0	No
Katsenelinboigen, 1978	No	No	0/226; 0.0	No
Nove, 1979	No	Agriculture	0/239; 0.0	No
Rosefelde, 1981	No	Foreign trade	1/332; 0.3	No
Asselain, 1984	No	No	7/248; 2.8	No
Hutchings, 1984	No	Investment	1/196; 0.4	No
Desai, 1987	No	Agriculture	11/272; 4.0	No

Table A6 continued

<i>Author, year, edition</i>	<i>Chapter on military sector</i>	<i>Chapters on other sectors</i>	<i>Index entries, number and % of total pp.</i>	<i>“Secrecy” in the index</i>
Hardt & McMillan, 1988	No	Natural resources	0/189; 0.0	No
Berliner, 1988	No	No	3/297; 1.0	Yes
Winiecki, 1988	No	Foreign trade	0/211; 0.0	No
Wiles, 1988	No	Agriculture, I	29/250; 11.6	No
Bergson, 1989	Yes	Foreign trade	13/296; 4.4	No
Shtromas & Kaplan, 1989 ¹	Yes	Agriculture	23/246; 9.4	No
Gregory, 1990	No	Construction	2/176; 1.1	No
Millar, 1990	No	Agriculture	7/288; 2.4	No
Campbell, 1992	Yes ²	Energy, Water	15/178; 8.4	yes

Sources: see Bibliography below.

Notes: ¹Economic part of the book only. ² Reprint of his 1972 *Soviet Studies* article.

³Mentioned in the chapter of the lone Soviet contributor.

Table A7. Books on Gorbachev's reforms and the Soviet collapse

<i>Author, year, edition</i>	<i>Chapter on military sector</i>	<i>Chapters on other sectors</i>	<i>Index entries, number and % of total pp.</i>	<i>"Secrecy" in the index</i>
Dyker, 1987	No	Agriculture, foreign trade	11 ¹ /218; 13.8	no
Goldman, 1987	No	High technology	22/264; 8.3	no
Hewett, 1988	No	No	6/391; 1.5	no
Linz & Moskoff, 1988	No	Agriculture	No index	n.a.
Aslund, 1989	No	Foreign trade	21/196; 10.7	no
Desai, 1989	No	No	10/129; 7.8	no
Tedstrom, 1990	No	Agriculture	6/231; 2.6	no
Roberts & LaFollette, 1990	No	No	0/144; 0.0	no
Campbell, 1991	No	Foreign trade, R&D, I	5/228; 2.2	no
Spulber, 1991	No	Agric.,for. trade+3sectors	5/282; 1.8	no
Goldman, 1991	No	No	16/259; 6.2	no ²
Cooper, L., 1991	Yes	Agriculture, for. trade	28/182; 15.4	no
Aslund, 1991	No	Ag., I, finan., FT, supply	13/241; 5.4	no
Aslund, 1992	No	No	0/208; 0.0	no
Dowlah, 1992	No	No	5/250; 2.0	no
Ellman & Kontorovich, 1992	No	Agric., railroads, R&D	27/269; 10.0	no

Sources: see Bibliography below

Notes: ¹Not counting 19 entries for the international relations chapter. ²But there is a reference to Birman (1981), which has a title starting with the word "secret".

Table A8. Books on economic history [only peacetime chapters]

<i>Author, year, edition</i>	<i>Chapter on military sector</i>	<i>Chapters on other sectors</i>	<i>Index entries, number and % of total pp.</i>	<i>“Secrecy” in the index</i>
Jasny, 1961	No	Agric., transp., const, retail	/; 8.6	No
Dobb, 1966	No	Finance	/; 7.7	No
Erlich, 1967	No	No	/; 4.3	No
Carr and Davies, 1969	Yes	Ag., FT, C, I, banks + 2	/984;	No
Nove, 1969 1 st ed.	No	Agriculture, finance	5/369; 1.4	No
Zaleski, 1971	No	No	0/304; 0.0	No
Zaleski, 1980	No	Agriculture, cons. goods	/; 12.7	No
Dunmore, 1980	No	No	0/151; 0.0	No
Nove, 1982 2 nd ed.	No	Agriculture, finance	7/400; 1.8	No
Munting, 1982	No	No	/; 7.1	No
Davies, 1989	Yes	For. trade + 4 sectors	/; 5.1	
Nove, 1992 3 rd ed.	No	Finance	/; 6.2	No
Edmondson, Waldron 92	Yes	Agriculture		
Hunter & Szyrmer, 1992	Yes	Agric., foreign trade	/; 4.6	No

Sources: see Bibliography below.

Table A9. Books on R&D, innovation, and technology transfer

<i>Author, year, edition</i>	<i>Chapter on</i>	<i>Index entries, number</i>	<i>“Secrecy” in the</i>
	<i>military sector</i>	<i>and % of total pp.</i>	<i>index</i>
Vucinich, 1956	No	0/124; 0.0	No
State of Soviet Science, 1965	No	0/199; 0.0	No
Korol, 1965	Yes	/362;	No
Sutton, 1968	Yes	No index	n.a.
Sutton, 1971	Yes ²	No index	n.a.
Sutton, 1973a	Yes ^{2,3}	/424; 23.8	No
Sutton, 1973b	Yes	Name index only	n.a.
Berliner, 1976	No	6/538; 1.1	No
Hutchings, 1976	No	32/268; 11.9	Yes
Amann, et al., 1977	Yes; Holloway	/563; 13.1	No
Medvedev, 1978	No	/244; 35.3	No
Popovsky, 1979	No		
Lewis, 1979	No	8/165; 4.9	No
Cocks, 1980	No	No index	n.a.
Lubrano & Solomon, 1980	No	No index	n.a.
Amann & Cooper, 1982	Yes; Hollow	/513; 28.7	Yes
Parrott, 1983	No	/306; 17.3	Yes
Vucinich, 1984	No	2/295; 0.7	No
Schaffer, 1985	Yes	40/259; 15.4	Yes
Parrott, 1985	Yes	/362;	No

Table A9 continued

<i>Author, year, edition</i>	<i>Chapter on</i>	<i>Index entries, number</i>	<i>“Secrecy” in the</i>
	<i>military sector</i>	<i>and % of total pp.</i>	<i>index</i>
Fortescue, 1986	No	6/176; 3.4	No
Amann & Cooper, 1986	Yes Cooper	25/181; 13.8	No
Berry, 1988	Yes Cooper		
Balzer, 1989	Yes	56/229; 24.5	No
Fortescue, 1990	Yes	24/186; 12.9	No
Scanlan, 1992	No		No

Sources: see Bibliography below.

Notes: ² Chapters with many sections. ³Sections on the military aspects of lend-lease and reparations not included, being related to World War II. 25 full/partial, 5 empty

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